

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Joshi et al.

Group Art Unit: 2812

Serial No.: 10/666,381

Examiner: Unknown

Filed: September 19, 2003

Confirmation No.: 8948

For: STACKED MEMORY CELL HAVING DIFFUSION BARRIERS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is directed to the cited references listed on the attached PTO/SB/08A and PTO/SB/08B. The cited references are believed to be the most relevant known to Applicants and/or Assignee at this time concerning the invention as claimed in the above-captioned patent application. No representation is made or intended that more relevant information does not exist or that the order of presentation of the information in any way reflects their relative pertinence.

Applicants respectfully request that each of the cited information be expressly considered during the prosecution of this application and that the cited references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Reference items 1 – 14 were cited in the parent application, so a copy is not required in this application.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. Thus, no certification or fee is required.

It is believed that no fees are due. However, if necessary please charge deficient fees, or credit any overpayment of fees associated with this communication to Deposit Account No. 50-1848.

Respectfully submitted,
PATTON BOGGS LLP

Date:

26 Feb 2004

By:

Phyllis K. Wood

Phyllis K. Wood

Customer No. 24283

Registration No.: 38,663

Tel. No.: 303-894-6118

Fax No.: 303-894-9239

CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Elaine C. VonSpreckelsen

Date:

February 26, 2004

Elaine C. VonSpreckelsen

Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

MAR 01 2004

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of

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Complete if Known

Application Number	10/666,381
Filing Date	19 Sep 2003
First Named Inventor	Vikram Joshi
Group Art Unit	2812
Examiner Name	Unknown
Attorney Docket Number	013176.0426D1US (Formerly 13176.426D1US (.456))

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-5,046,043	09-03-1991	Miller et al.	
	2	US-5,122,923	06-19-1992	Matsubara et al.	
	3	US-5,434,102	07-18-1995	Watanabe et al.	
	4	US-5,468,684	11-21-1995	Yoshimori et al.	
	5	US-5,508,226	04-16-1996	Ito et al.	
	6	US-5,519,234	05-21-1996	Paz de Araujo et al.	
	7	US-5,648,114	07-15-1997	Paz de Araujo et al.	
	8	US-5,773,314	06-30-1998	Jiang et al.	
	9	US-5,825,057	10-20-1998	Watanabe et al.	
	10	US-5,962,069	10-05-1999	Schindler et al.	
	11	US-6,204,070	03-20-2001	Kim, Young Bog	
	12	US-6,326,315	12-04-2001	Uchiyama et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	13	WO 01/75945 A2	10-11-2002	Symetrix Corporation		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Inventor

Vikram Joshi

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013176.0426D1US(Formerly
13176.426D1US (.456))

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTSExaminer-
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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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14

KOYAMA ET AL., "A Stacked Capacitor With $(\text{Ba}_x\text{Sr}_{1-x})\text{TiO}_3$ For 256M DRAM", IEEE, 1991, pp. 823-826

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